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STATEMENT UNDER 37 CFR 3.73(b)

1875.5780000

Applicant/Patent Owner: Broadcom CorporationApplication No./Patent No.: 09/395,297 Filed/Issue Date: September 13, 1999Entitled: Conditional Instruction Execution In A Computer

Broadcom Corporation, a corporation
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
 The extent (by percentage) of its ownership interest is _____ % in the patent application patent identified above by virtue of either:
- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Sophie WILSON To: Element 14, Inc.
 The document was recorded in the Patent and Trademark Office at
 Reel 010873, Frame 0918, or for which a copy thereof is attached.
2. From: Element 14, Inc. To: Broadcom UK Ltd.
 The document was recorded in the Patent and Trademark Office at
 Reel 012185, Frame 0462, or for which a copy thereof is attached.
3. From: Broadcom UK Ltd. To: Broadcom Corporation
 The document was recorded in the Patent and Trademark Office at
 Reel 012156, Frame 0623, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

February 27, 2004

Date

(949) 926-5958

Telephone number

Dee Henderson

Typed or printed name

Signature

Manager, Intellectual Property Portfolio

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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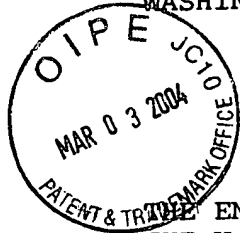
Technology Center 2100



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

NOVEMBER 16, 2001

MCDERMOTT, WILL & EMERY
KEITH E. GEORGE
600 13TH STREET, N.W.
WASHINGTON, DC 20005



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UNITED STATES PATENT AND TRADEMARK OFFICE
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ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/13/2001

REEL/FRAME: 012156/0623
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
BROADCOM UK LTD.

DOC DATE: 06/18/2001

ASSIGNEE:
BROADCOM CORPORATION
16215 ALTON PARKWAY
P.O. BOX 57013
IRVINE, CALIFORNIA 92619-7013

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Technology Center 2100

SERIAL NUMBER: 09759832
PATENT NUMBER:

FILING DATE: 01/12/2001
ISSUE DATE:

0I164

SERIAL NUMBER: 09634750
PATENT NUMBER:

FILING DATE: 08/09/2000
ISSUE DATE:

0H510

SERIAL NUMBER: 09395294
PATENT NUMBER:

FILING DATE: 09/13/1999
ISSUE DATE:

0G117

SERIAL NUMBER: 09703837
PATENT NUMBER:

FILING DATE: 11/01/2000
ISSUE DATE:

0H658

012156/0623 PAGE 2

SERIAL NUMBER: 09395297
PATENT NUMBER:

SERIAL NUMBER: 09395298
PATENT NUMBER:

SERIAL NUMBER: 09651799
PATENT NUMBER:

SERIAL NUMBER: 09395295
PATENT NUMBER:

SERIAL NUMBER: 09395296
PATENT NUMBER:

FILING DATE: 09/13/1999 OG 116
ISSUE DATE:

FILING DATE: 09/13/1999 OG 115
ISSUE DATE:

FILING DATE: 08/30/2000 DH 577
ISSUE DATE:

FILING DATE: 09/13/1999 OG 118
ISSUE DATE:

FILING DATE: 09/13/1999 OG 114
ISSUE DATE:

JACQUELINE MOORE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

09-18-2001

FORM PTO-1596
1-31-92

101847990

BT

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Docket No.: 50107-204

PATENT ONLY

To the Honorable Commissioner for Patents and Trademarks: Please record the attached original documents or copy thereto:

1. Name of Conveying Party(ies)
Broadcom UK Ltd.Additional name(s) of conveying party(ies) attached? ☐ Yes3. Nature of Conveyance
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: June 18, 2001

2. Name and address of receiving party(ies):

Name: Broadcom Corporation
Address: 16215 Alton Parkway
P. O. Box 57013
Irvine, CA 92619-7013

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MAR 05 2004

Technology Center 2100

SEP 13 2001

Additional name(s) & address(es) attached? ☐ Yes

4. Application number(s) or patent number(s):

If the document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

09/759,832 09/703,837 09/651,799
09/634,750 09/395,297 09/395,295
09/395,294 09/395,298 09/395,296

B. Patent No(s).

Additional numbers attached? ☐ Yes

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: MCDERMOTT, WILL & EMERY
Internal Address:Street Address: 600 13th Street, N.W.

City: Washington State: DC Zip: 20005

6. Total number of applications and patents involved: 9

7. Total fee (37 CFR 3.41) \$360.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

500417

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Keith E. George, Reg. No. 34,111

Name and Registration No. of Person Signing

Signature

September 13, 2001

Date

Total number of pages comprising cover sheet: 1

CMB No. 0851-0011 (exp. 4/94)

09/17/2001 08YRME 00000223 500417 09759832

01 FC:501

360.00 CH

SIGNMENT is made [18th day of June]

BETWEEN:

- 1 BROADCOM UK Ltd a Delaware corporation having its principal office at Suite 210, The Quorum, Barnwell Road, Cambridge, CB5 8RE ("the Assignor"); and
- 2 BROADCOM CORPORATION a corporation having its principal office at 16215 Alton Parkway P.O. Box 57013, Irvine, California 92619-7013 ("the Assignee")

WHEREAS:

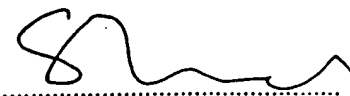
- A The Assignor is the owner of a number of registered patents ("the Patents") and is the Applicant in respect of a number of patent applications ("the Applications"), short particulars of which are set out in Schedule 1 hereto.
- B On the 16th January 2001, the Assignor changed its name from Element 14 Inc to Broadcom UK Ltd and such change is evidenced by the Certificate of the Secretary of State of the State of Delaware contained in Exhibit 1 hereto.
- C The Patents and the Applications are recorded with the relevant patent offices in the Assignor's former name, Element 14 Inc.

NOW THIS ASSIGNMENT WITNESSES as follows:

- 1 In consideration of the sum of \$1 now paid by the Assignee to the Assignor (receipt of which the Assignor hereby acknowledges), the Assignor hereby assigns to the Assignee the Patents and the Applications, the right to apply for patent or similar protection in relation to the Applications in any part of the world (including the right to rely on any convention priority period) and the right to sue for and recover damages in respect of all infringements and threatened infringements of the Patents and the Applications prior to the date hereof.
- 2 At the request and cost of the Assignee, the Assignor shall without reasonable delay at any time execute any further documents and carry out any acts reasonably required to give effect to this assignment.
- 3 This assignment may be executed in one or more counterparts in which event all of said counterparts (including facsimile copy) shall be deemed to constitute one original of this agreement.
- 4 This assignment shall be governed by California law and the parties hereto submit to the non-exclusive jurisdiction of the Courts of California.

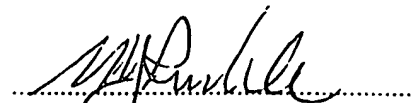
In witness whereof the parties hereto have executed this assignment on the day and year first above written.

Executed as a deed,
For and on behalf of Broadcom UK Ltd
acting by an Officer



Stan Boland

Executed as a deed
for and on behalf of Broadcom Corporation
acting by an Officer



William Ruehle
Vice President & CFO

SCHEDULE

Schedule of Granted Patents in the name of Element 14 Inc

Reference No	Title	Date Granted
GB Patent 2269514	RFI Reduction	6 March 1996
GB Patent 2269249	Clock Speed Selection	1 November 1995
GB Patent 2267624	Acom Reply	20 September 1995
US Patent 5497434	Acom Replay	5 March 1996
GB Patent 2245743	Grey Scaling on Simple Matrix LCD's	20 July 1994
US Patent 5400044	Grey Scaling on Simple Matrix LCD's	21 March 1995
UK Patent 2343808	Memory Access Enhancement	3 January 2001

Schedule of US Applications Element 14 Inc

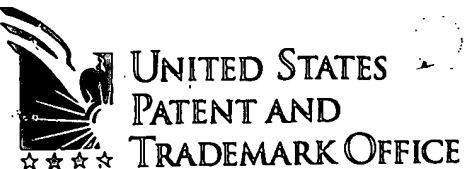
Application No	Date
09/212,567	16 December 1998
09/273,722	22 March 1999
09/438,361	13 November 1999
09/395,297	13 September 1999
09/395,295	13 September 1999
09/395,294	13 September 1999
09/395,298	13 September 1999
09/395,296	13 September 1999
09/703,837	1 November 2000
09/651,799	30 August 2000
09/686,784	10 October 2000
09/692,656	19 October 2000
09/634,750	9 August 2000
09/759,832	12 January 2001

Schedule of British Applications Element 14 Inc

Application No	Date
9929828.3	16 December 1998
0000738.5	13 January 2000
9917127.4	21 July 1999
9916564.9	14 July 1999
9916566.4	14 July 1999
9917129	21 July 1999
9917126.6	21 July 1999
0015092.0	20 June 2000
9929442.3	13 December 1999
0018490.3	27 July 2000
0020624.3	21 August 2000
0021438.7	31 August 2000
0015236.3	21 June 2000
0017726.1	19 July 2000

Schedule of PCT Applications Element 14 Inc

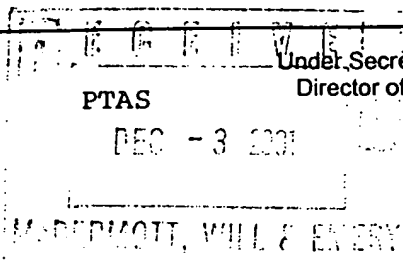
Application No	Date
PCT/GB00/01083	22 March 2000
PCT/GB01/00116	12 January 2001
PCT/GB/00/02441	26 June 2000
PCT/GB00/02765	18 July 2000
PCT/GB00/04761	12 December 2000



3598/0101- Keith George

NOVEMBER 28, 2001

MCDERMOTT, WILL & EMERY
600 13TH STREET, N.W.
WASHINGTON, DC 20005



Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
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RECORDATION DATE: 09/13/2001

REEL/FRAME: 012185/0462
NUMBER OF PAGES: 2

BRIEF: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
ELEMENT 14, INC.

DOC DATE: 06/18/2001

ASSIGNEE:
BROADCOM UK LTD.
SUITE 210, THE QUORUM BARNWELL
ROAD
CAMBRIDGE, CB5 8RE, STATELESS

SERIAL NUMBER: 09759832
PATENT NUMBER:

FILING DATE: 01/12/2001 01164
ISSUE DATE:

SERIAL NUMBER: 09634750
PATENT NUMBER:

FILING DATE: 08/09/2000 04510
ISSUE DATE:

SERIAL NUMBER: 09395294
PATENT NUMBER:

FILING DATE: 09/13/1999 08117
ISSUE DATE:

SERIAL NUMBER: 09703837
PATENT NUMBER:

FILING DATE: 11/01/2000 04656
ISSUE DATE:

012185/0462 PAGE 2

SERIAL NUMBER: 09395297
PATENT NUMBER:

FILING DATE: 09/13/1999
ISSUE DATE:

OG 116

SERIAL NUMBER: 09395298
PATENT NUMBER:

FILING DATE: 09/13/1999
ISSUE DATE:

OG 115

SERIAL NUMBER: 09651799
PATENT NUMBER:

FILING DATE: 08/30/2000
ISSUE DATE:

OH 577

SERIAL NUMBER: 09395295
PATENT NUMBER:

FILING DATE: 09/13/1999
ISSUE DATE:

OG 118

SERIAL NUMBER: 09395296
PATENT NUMBER:

FILING DATE: 09/13/1999
ISSUE DATE:

OG 114

STEVEN POST, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

11-27-2001



SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

FORM PTO-1596

1-31-92

Docket No.: 60497-010

101900129

To the Honorable Commissioner for Patents and Trademarks: Please record the attached original documents or copy thereto:

1. Name of Conveying Party(ies):

Element 14, Inc.

94321

Additional name(s) of conveying party(ies) attached? ☐ Yes

3. Nature of Conveyance:

☐ Assignment☐ Merger☐ Security Agreement☒ Change of Name☐ Other

Execution Date: June 18, 2001

2. Name and address of receiving party(ies):

Name: Broadcom UK Ltd.

Address: Suite 210, The Quorum

Barnwell Road

Cambridge, CB5 8RE

SEP 13 2001

Additional name(s) & address(es) attached? ☐ Yes

4. Application number(s) or patent number(s):

If the document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

09/759,832 09/703,837 09/651,799

09/634,750 09/395,297 09/395,295

09/395,294 09/395,298 09/395,296

B. Patent No(s).

Additional numbers attached? ☐ Yes

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: MCDERMOTT, WILL & EMERY

Internal Address:

Street Address: 600 13th Street, N.W.

City: Washington State: DC Zip: 20005

6. Total number of applications and patents involved: 9

7. Total fee (37 CFR 3.41) \$360.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

500417

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Keith E. George, Reg. No. 34,111

September 13, 2001

Name and Registration No. of Person Signing

Signature

Date

Total number of pages comprising cover sheet: 1

CMB No. 0851-0011 (exp. 4/94)

09/17/2001 08:59:01 00000222 500417 09753033

01 FC:501

360.00 CH

Jan:18. 2001 2:54PM

EXHIBIT 1

ON SONSINI

No. 3933 P. 4

State of Delaware

Office of the Secretary of State

PAGE 1

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "ELEMENT 14, INC.", CHANGING ITS NAME FROM "ELEMENT 14, INC." TO "BROADCOM UK LTD.", FILED IN THIS OFFICE ON THE SIXTEENTH DAY OF JANUARY, A.D. 2001, AT 9 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



Harriet Smith Windsor
Secretary of State

3024289 8100

010024487

AUTHENTICATION: 0917383

DATE: 01-16-01



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

OCTOBER 10, 2000

PTAS

DARBY & DARBY P.C.
CHERYL MILONE BAB
805 THIRD AVENUE, 27TH FLOOR
NEW YORK, NEW YORK 10022-7513



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RECORDATION DATE: 07/24/2000

REEL/FRAME: 011010/0751
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WILSON, SOPHIE

DOC DATE: 05/25/2000

ASSIGNEE:

ELEMENT 14 INC.
15 EAST NORTH STREET
P.O. BOX 899
DOVER, DELAWARE 19901

SERIAL NUMBER: 09395298
PATENT NUMBER:

FILING DATE: 09/13/1999
ISSUE DATE:

ALLYSON PURNELL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

1000-001-53 15 J: 111
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08-24-2000



101442253

To the Honorable Commissioner of Patents and Trademarks

all documents or copy thereof.

1. Name of conveying party(ies):

Sophie Wilson

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: May 25, 2000

2. Name and address of receiving party(ies):

Name: ELEMENT 14 Inc.

Internal Address:

Street Address: 15 East North Street

P.O. Box 899

City: Dover State: DE Zip: 19901

Additional name(s) & address(es) attached? ☐ Yes ☒ No

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MAR 05 2004

Technology Center 2100

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/395,298 filed September 13, 1999

B. Patent No. (s)

I hereby certify that this paper and every paper referred to therein as being enclosed is being deposited with the U.S. Postal Service as first class mail, postage prepaid, in an envelope addressed to: Commissioner of Patents and Trademarks, Washington DC 20231, on May 25, 2000 (Date of Deposit)
Date May 25, 2000 Name Sophie Wilson

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Darby & Darby P.C.

Internal Address:

Street Address: 805 Third Avenue, 27th Floor

City: New York State: New York Zip: 10022-7513

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

04-0100

(Attach duplicate copy of this page if paying by deposit account)

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08/23/2000 JIR/LLM2 00000004 0357230

01 FC:581

40.00 00

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Cheryl Milone Bab (Reg. #43,480)
Name of Person Signing

Cheryl Milone Bab
Signature

July 20, 2000
Date

Total number of pages including cover sheet, attachments, and document:

2

ASSIGNMENT

Sophie WILSON, a citizen of England; residing at 6 Willow Grove, Lode, Cambridge CB5 9EL, ENGLAND;

(hereinafter called "ASSIGNOR") in consideration of the sum of One Dollar (\$1.00) or the equivalent thereof, and other good and valuable consideration paid to me by ELEMENT 14 Inc. whose registered office is at 15 East North Street, P.O. Box 899, City of Dover, County of Kent, Delaware 19901, U.S.A. (hereinafter called the "ASSIGNEE"), do hereby sell and assign to the said ASSIGNEE, its successors and assigns, all their right, title and interest, in and for the United States of America, in and to my invention entitled:

SETTING CONDITION VALUES IN A COMPUTER

invented by me and described in the application Serial No. 09/395,298 for United States Letters Patent therefor, filed on September 13, 1999, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by said ASSIGNEE, its successors, assigns or other legal representatives, to the full end of the term for which said Letters patent may be granted, as fully and entirely as the same would have been held and enjoyed by said ASSIGNOR if this assignment and sale had not been made;

And ASSIGNOR hereby agree to sign and execute any further documents or instruments which may be necessary, lawful, and proper in the prosecution of said above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute divisional renewal or reissue applications, or in any amendment, extension, or interference proceedings, or otherwise to secure the title thereto in said ASSIGNEE;

And the said ASSIGNOR hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE.

I declare under penalty of perjury under the laws of the United States of America that I have signed this document as my own free act and that all of the foregoing is true and correct.

Dated: 25th May 2000

Sophie Wilson
Sophie WILSON, Inventor